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| APPLICATION NO.  | FILING DATE      | FIRST NAMED INVENTOR  | ATTORNEY DOCKET NO.                   | CONFIRMATION NO. |
|--|------------------|-----------------------|---------------------------------------|------------------|
| 10/663,176   | 09/15/2003       | Gregory Richard Eberl | 02-302 (US01) 2830                    |                  |
| 23410 7590 05/04/2007<br>Vista IP Law Group LLP<br>2040 MAIN STREET, 9TH FLOOR |                  |                       | EXAMINER                              |                  |
|  |                  |                       | GIBSON, R                             | GIBSON, ROY DEAN |
| ikvine, ca 9   | IRVINE, CA 92614 |                       | ART UNIT                              | PAPER NUMBER     |
|  |                  |                       | 3739                                  |                  |
|  |                  |                       | · · · · · · · · · · · · · · · · · · · |                  |
|  |                  |                       | MAIL DATE                             | DELIVERY MODE    |
|  |                  |                       | 05/04/2007                            | PAPER            |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

| Office Action Summary   |   | Application No.   | Applicant(s)   |  |  |  |  |
|---|---|---|--|--|--|--|--|
|   |   | 10/663,176  | EBERL ET AL.   |  |  |  |  |
|   |   | Examiner  | Art Unit   |  |  |  |  |
|   | •   | Roy D. Gibson   | 3739   |  |  |  |  |
| Period fo   | The MAILING DATE of this communication app<br>or Reply  | ears on the cover sheet with the c  | orrespondence address  |  |  |  |  |
| WHIC - Exte after - If NC - Failu Any   | ORTENED STATUTORY PERIOD FOR REPLY CHEVER IS LONGER, FROM THE MAILING DANSIONS of time may be available under the provisions of 37 CFR 1.13 SIX (6) MONTHS from the mailing date of this communication. Operiod for reply is specified above, the maximum statutory period were to reply within the set or extended period for reply will, by statute, reply received by the Office later than three months after the mailing ed patent term adjustment. See 37 CFR 1.704(b). | ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tin will apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE | N. nely filed the mailing date of this communication. D (35 U.S.C. § 133). |  |  |  |  |
| Status  |   |   |  |  |  |  |  |
| 1)  🛛   | Responsive to communication(s) filed on 07 Fe   | ebruary 2007.   |  |  |  |  |  |
| 2a)   | _   |   |  |  |  |  |  |
| 3)  | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is   |   |  |  |  |  |  |
|   | closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.   |   |  |  |  |  |  |
| Disposit  | ion of Claims   |   |  |  |  |  |  |
| 4)⊠   | Claim(s) 1-15 and 17-35 is/are pending in the a   | application.  |  |  |  |  |  |
|   | 4a) Of the above claim(s) is/are withdrawn from consideration.  |   |  |  |  |  |  |
| 5)🖂   | Claim(s) 29-32 and 35 is/are allowed.   |   |  |  |  |  |  |
|   | s)⊠ Claim(s) <u>1-7,12,33 and 34</u> is/are rejected.   |   |  |  |  |  |  |
| 7)🛛   |   |   |  |  |  |  |  |
| 8)[   | Claim(s) are subject to restriction and/or  | r election requirement.   |  |  |  |  |  |
| Applicat  | ion Papers  |   | •  |  |  |  |  |
| 9)  | The specification is objected to by the Examine   | r.  | •  |  |  |  |  |
|   | •   |   | Examiner.  |  |  |  |  |
| 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). |   |   |  |  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  |   |   |  |  |  |  |  |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  |   |   |  |  |  |  |  |
| Priority (  | under 35 U.S.C. § 119   |   |  |  |  |  |  |
|   | Acknowledgment is made of a claim for foreign  ☐ All b)☐ Some * c)☐ None of:  | priority under 35 U.S.C. § 119(a)   | )-(d) or (f).  |  |  |  |  |
|   | 1. Certified copies of the priority documents have been received.   |   |  |  |  |  |  |
|   | 2. Certified copies of the priority documents have been received in Application No  |   |  |  |  |  |  |
|   | 3. Copies of the certified copies of the prior  | rity documents have been receive  | ed in this National Stage  |  |  |  |  |
|   | application from the International Bureau (PCT Rule 17.2(a)).   |   |  |  |  |  |  |
| * See the attached detailed Office action for a list of the certified copies not received.  |   |   |  |  |  |  |  |
|   |   | v   |  |  |  |  |  |
|   |   |   | ·  |  |  |  |  |
| Attachmen   | nt(s)   | ,   |  |  |  |  |  |
| 1) Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413)   |   |   |  |  |  |  |  |
|   | 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  Paper No(s)/Mail Date  3) Information Disclosure Statement(s) (PTO/SB/08)  Notice of Informal Patent Application   |   |  |  |  |  |  |
|   | mation Disclosure Statement(s) (PTO/SB/08) er No(s)/Mail Date   | 6) Other:   | atont Application  |  |  |  |  |
| C Potent and T  | rademark Office   | <u> </u>  |  |  |  |  |  |

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### Formal Matters

Because of newly found prior art presented below, the allowability of several claims has been withdrawn, therefore, this Office action is non-final.

## Claim Objections

Claim 29 is objected to because of the following informalities: in line 6, "configuring" should be "configured". Appropriate correction is required.

## Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

Claims 1-7, 12, 33 and 34 are rejected under 35 U.S.C. 102(a) as anticipated by or, in the alternative, under 35 U.S.C. 103(a) as obvious over Lim et al. (6,488,688).

Regarding claims 1, 6 and 7, Lim et al. disclose a medical device with an elongate shaft and an inflatable semi-compliant balloon located on the elongate shaft comprising a polymer made from the same material as claimed (Tecophilic which is equivalent to Tecoflex as stated by Applicant on page 7 of the Specification, therefore, the balloon, when hydrated with an electrolytic solution, would inherently have the same tensile strength when inflated to about one atmosphere (col. 3, line 59-col. 4, line 6, col. 5, lines 48-66 and col. 6, lines 35-50).

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Regarding claim 2, the semi-compliant balloon can be expanded by 4% radially when inflated by 10.2 atm (col. 3,lines 47-51).

Regarding claim 3, Table 1 of Lim et al. in col. 8, discloses the semi-compliant balloon OD increases by about 50% at 195 psi.

Regarding claims 4 and 5, the examiner maintains that the balloon of Lim et al. would inherently be capable of hydration from 10-40% volume (col. 8,line 54).

Regarding claim 12, the wall thickness of the semi-compliant balloon is disclosed as 0.0015" or within the claimed range of 0.0005-0.005" (col. 7, lines 10-20).

Regarding claim s 33 and 34, Lim et al. discloses the device is configured to be intravascularly introduced within a heart of the patient.

# Allowable Subject Matter

Claims 29-32 and 35 are allowed.

Claims 8-11, 13, 14 and 16-28 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

### Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Euteneuer et al. (6,077,273) disclose a catheter with a balloon made from Tecoflex (col. 6, lines 33-41).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Roy D. Gibson whose telephone number is 571-272-4767. The examiner can normally be reached on Tu-Th, 7:30 am-4:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Linda Dvorak can be reached on 571-272-4764. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Roy D/Gibson Primary Examiner Art Unit 3739

April 30, 2007